

# Rödl & Partner

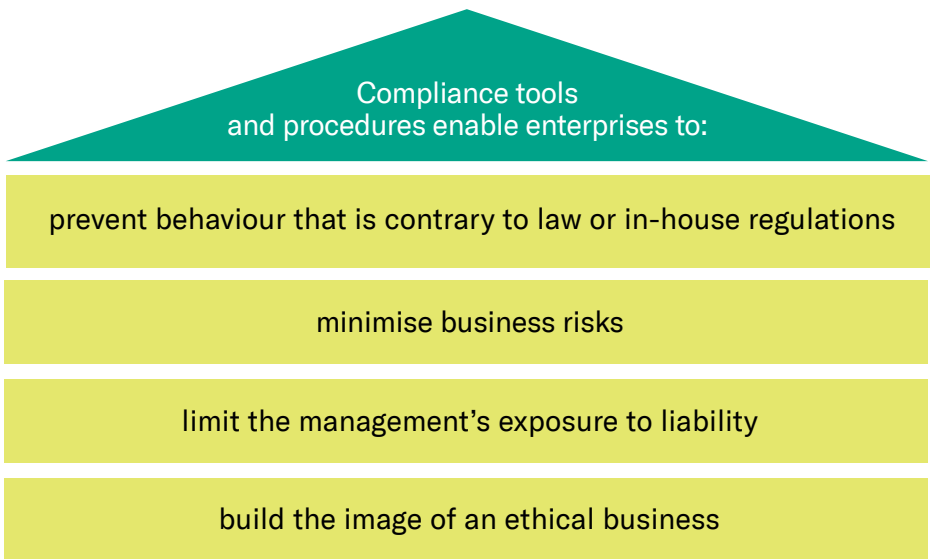
## COMPLIANCE

PROTECTION AND STANDARD  
FOR PROFESSIONALS



# COMPLIANCE

**Compliance** means a set of rules adopted by an enterprise to ensure that its operations conform to laws, in-house regulations and the accepted values.



# IF YOU ARE A BOARD MEMBER

## Please remember that:

- ✓ You may be held liable for unlawful actions of not only your employees, but also your business partners.
- ✓ You may be liable to imprisonment for up to 5 years if you commit fraud in your capacity as a manager; the penalty may be up to 10 years in prison if you abuse your powers or fail to fulfil your duties thus causing a loss exceeding PLN 1 million to the company.
- ✓ You may be held criminally liable for failing to file the company's financial statements or a petition for bankruptcy.
- ✓ You may be held liable for damages if you breach the non-competition clause.
- ✓ You may be held liable if you overstate the value of an in-kind contribution.
- ✓ You may be held liable for making payments to the company's shareholder contrary to law or the company's articles of association.
- ✓ You may bear financial and regulatory consequences if you do not comply with the AML provisions.

# RÖDL & PARTNER'S SERVICES

RISK IDENTIFICATION	1.
PROCEDURES AND TOOLS	2.
IMPLEMENTATION SUPPORT	3.
EMPLOYEE AND MANAGEMENT TRAINING	4.
REGULAR REVIEWS OF COMPLIANCE WITH PROCEDURES	5.
APPOINTMENT OF COMPLIANCE OFFICER	6.
DRAFTING CONTRACT CLAUSES	7.
PLATFORM FOR WHISTLEBLOWERS	8.
REPRESENTATION IN PROCEEDINGS	9.
IT SUPPORT	10.
COMPLIANCE DUE DILIGENCE	11.
SUPPORT IN PREPARATION FOR REGULATORY AUDITS	12.



Rödl & Partner will support your business in preparing and implementing a good compliance system.

Our solutions will help you to exercise due care in management and limit the risk of infringements in your company.

# CONTACT RÖDL & PARTNER EXPERTS

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